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Quality Auditor Exam

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QUESTION 1

Quality system audits are directed at evaluating the:

- A. specific procedures that have special significance for a company's quality mission
- B. quality aspects of various segments of a company's overall approach to quality management
- C. quality aspects of specific processes to measure conformance to quality standards
- D. organizational units that each have specific assigned missions

Correct Answer: B

QUESTION 2

For third-party audits, which two of the following factors should be considered by the auditor when agreeing to the amount of time needed to complete corrective action?

- I. The resources available to the auditor for determining when follow-up can occur
- II. The resources available to the auditee to enact the corrective action
- III. The auditee's experience in project evaluation techniques
- IV.

The number of findings recorded during the audit

- A.
- I and III
- B.
- I and IV
- C.
- II and III
- D.
- II and IV

Correct Answer: D



QUESTION 3

Which of the following types of tools or techniques is considered qualitative?

- A. Histograms
- B. Frequency distributions
- C. Pareto charts
- D. Process observations

Correct Answer: D

QUESTION 4

After an external audit, the auditee may be asked to evaluate which of the following auditor skills or elements of the audit?

- A. Interviewing, interaction, accuracy of the audit report
- B. Audit scheduling, auditor training records, observing an audit
- C. Communication, listening, checklist preparation
- D. Clarity of past audit reports, number of findings, lack of bias

Correct Answer: A

QUESTION 5

Which of the following statistics would best describe the central tendency of a sample of data?

- A. Mode
- B. Mean
- C. Standard deviation
- D. Range

Correct Answer: B

QUESTION 6

During the opening meeting, it is the auditee's responsibility to:

- A. prepare the meeting agenda
- B. set the audit schedule



- C. state the audit purpose and scope
- D. identify safety requirements

Correct Answer: D

QUESTION 7

During the closing meeting, what is the advantage of having the lead auditor be the only presenter of the audit findings?

- A. The auditee will have one person with whom to interact and address comments to.
- B. The lead auditor may be the only team member who has verified each finding personally.
- C. The lead auditor has the most experience in dealing with the auditee's management.
- D. The lead auditor makes the auditee feel more responsible for corrective action of the findings presented.

Correct Answer: A

QUESTION 8

If the audit escort for one area is repeatedly late or unavailable, what is the best option open to the auditor?

- A. Notify the auditee management of the consequences created by the delays.
- B. Narrow the scope of the audit to accommodate the delays.
- C. Proceed without the escort.
- D. Assess system effectiveness using objective evidence from other areas.

Correct Answer: A

QUESTION 9

An internal audit schedule should be prioritized on the basis of all of the following criteria EXCEPT the:

- A. cost of the activities
- B. risk of the activities
- C. size of the auditee's department
- D. management's requests

Correct Answer: C



QUESTION 10

The fraction of nonconforming products is plotted on which of the following types of control charts?

- A. p chart
- B. u chart
- C. np chart
- D. c chart

Correct Answer: A

QUESTION 11

Management of an internal audit program should consider all of the following EXCEPT:

- A. taking ownership of the quality assurance program
- B. supporting company objectives, goals, and strategies
- C. assuring that audits are a means to evaluate company performance
- D. weighing costs versus benefits of conducting an audit

Correct Answer: A

QUESTION 12

During the closing meeting, the lead auditor should do which of the following?

- A. Accept the presentation of objective evidence.
- B. Review the auditor's qualifications.
- C. Perform a causal analysis with the auditee.
- D. Present a summary of audit results.

Correct Answer: D

QUESTION 13

Who is responsible for preparing the corrective action plan?

- A. The auditor



B. The auditee

C. The registrar D. The audit manager

Correct Answer: B

QUESTION 14

Which of the following methods can an audit program manager use to establish consistency among auditors?

A. Develop performance appraisals for all auditors

B. Assign auditors to permanent audit teams

C. Require auditors to read audit journals and references

D. Require auditors to develop their own procedures manual

Correct Answer: A

QUESTION 15

Which of the following best describes quality control?

A. The overall intentions and directions of an organization with regard to quality, as formally expressed by top management

B. The activities that establish the objectives and requirements for quality and for the application of quality system elements

C. The organizational structure and the procedures, processes, and resources needed to implement quality management

D. The operational techniques and activities that are used to fulfill requirements for quality

Correct Answer: D

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