



# CIPP-C<sup>Q&As</sup>

Certified Information Privacy Professional/ Canada (CIPP/C)

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### QUESTION 1

What can be concluded from the Blood Tribe case regarding the Privacy Commissioner's access to information?

- A. The commissioner cannot receive information unless it is gathered under oath.
- B. The commissioner cannot ask an organization to prove that a document is privileged.
- C. The commissioner can compel the production of all documents that are relevant to the investigation.
- D. The commissioner can officially request proof that desired information is subject to solicitor-client privilege.

Correct Answer: D

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### QUESTION 2

Which of the following is most likely to provide privacy protection to private-sector employees in the United States?

- A. State law, contract law, and tort law
- B. The Federal Trade Commission Act (FTC Act)
- C. Amendments one, four, and five of the U.S. Constitution
- D. The U.S. Department of Health and Human Services (HHS)

Correct Answer: A

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### QUESTION 3

Which question is NOT part of the Office of the Privacy Commissioner of Canada's (OPC's) four-point test for establishing whether providing access to genetic testing results goes beyond what is necessary or reasonable?

- A. Are there less privacy-invasive alternatives?
- B. Are the collection and the use proportionate to the benefits gained?
- C. Are the validity and accuracy of individual test results guaranteed to be accurate?
- D. Is the personal information likely to be effective in achieving a legitimate business purpose?

Correct Answer: C

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### QUESTION 4



The rules for "e-discovery" mainly prevent which of the following?

- A. A conflict between business practice and technological safeguards
- B. The loss of information due to poor data retention practices
- C. The practice of employees using personal devices for work
- D. A breach of an organization's data retention program

Correct Answer: B

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#### QUESTION 5

Which of the following does Title VII of the Civil Rights Act prohibit an employer from asking a job applicant?

- A. Questions about age
- B. Questions about a disability
- C. Questions about a national origin
- D. Questions about intended pregnancy

Correct Answer: C

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#### QUESTION 6

What is a legal document approved by a judge that formalizes an agreement between a governmental agency and an adverse party called?

- A. A consent decree
- B. Stare decisis decree
- C. A judgment rider
- D. Common law judgment

Correct Answer: A

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#### QUESTION 7

##### SCENARIO

Please use the following to answer the next QUESTION:

You are the chief privacy officer at HealthCo, a major hospital in a large U.S. city in state A. HealthCo is a HIPAA-covered entity that provides healthcare services to more than 100,000 patients. A third-party cloud computing service provider,



CloudHealth, stores and manages the electronic protected health information (ePHI) of these individuals on behalf of HealthCo. CloudHealth stores the data in state B. As part of HealthCo's business associate agreement (BAA) with

CloudHealth, HealthCo requires CloudHealth to implement security measures, including industry standard encryption practices, to adequately protect the data. However, HealthCo did not perform due diligence on CloudHealth before entering

the contract, and has not conducted audits of CloudHealth's security measures.

A CloudHealth employee has recently become the victim of a phishing attack. When the employee unintentionally clicked on a link from a suspicious email, the PHI of more than 10,000 HealthCo patients was compromised. It has since been

published online. The HealthCo cybersecurity team quickly identifies the perpetrator as a known hacker who has launched similar attacks on other hospitals ?ones that exposed the PHI of public figures including celebrities and politicians.

During the course of its investigation, HealthCo discovers that CloudHealth has not encrypted the PHI in accordance with the terms of its contract. In addition, CloudHealth has not provided privacy or security training to its employees. Law

enforcement has requested that HealthCo provide its investigative report of the breach

and a copy of the PHI of the individuals affected.

A patient affected by the breach then sues HealthCo, claiming that the company did not adequately protect the individual's ePHI, and that he has suffered substantial harm as a result of the exposed data. The patient's attorney has submitted

a discovery request for the ePHI exposed in the breach.

What is the most effective kind of training CloudHealth could have given its employees to help prevent this type of data breach?

- A. Training on techniques for identifying phishing attempts
- B. Training on the terms of the contractual agreement with HealthCo
- C. Training on the difference between confidential and non-public information
- D. Training on CloudHealth's HR policy regarding the role of employees involved data breaches

Correct Answer: A

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## QUESTION 8

Which of the following describes the most likely risk for a company developing a privacy policy with standards that are much higher than its competitors?

- A. Being more closely scrutinized for any breaches of policy
- B. Getting accused of discriminatory practices
- C. Attracting skepticism from auditors



D. Having a security system failure

Correct Answer: A

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#### QUESTION 9

In which situation could a request for access to one's personal information be denied under the Privacy Act?

- A. The personal information was collected by the Royal Canadian Mounted Police while performing policing services for a province or municipality.
- B. The personal information was obtained in confidence from a foreign state or agency which has consented to the disclosure of the information.
- C. The release of the personal information could reasonably be expected to cause injury to a protected species of wildlife.
- D. The personal information is more than 20 years old and relates to the detection or suppression of money laundering.

Correct Answer: C

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#### QUESTION 10

What must a federal government department do before it implements an electronic service (e-service)?

- A. Conduct a preliminary PIA before acquiring the service
- B. Complete a PIA in accordance with Treasury Board guidelines.
- C. Publish a privacy statement in newspapers and on the government website.
- D. Determine if the Office of the Privacy Commissioner must be notified of the launch of this new e-service

Correct Answer: B

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#### QUESTION 11

##### SCENARIO

Please use the following to answer the next QUESTION:

Declan has just started a job as a nursing assistant in a radiology department at Woodland Hospital. He has also started a program to become a registered nurse.

Before taking this career path, Declan was vaguely familiar with the Health Insurance Portability and Accountability Act (HIPAA). He now knows that he must help ensure the security of his patients' Protected Health Information (PHI).



Therefore, he is thinking carefully about privacy issues.

On the morning of his first day, Declan noticed that the newly hired receptionist handed each patient a HIPAA privacy notice. He wondered if it was necessary to give these privacy notices to returning patients, and if the radiology department

could reduce paper waste through a system of one-time distribution.

He was also curious about the hospital's use of a billing company. He questioned whether the hospital was doing all it could to protect the privacy of its patients if the billing company had details about patients' care.

On his first day Declan became familiar with all areas of the hospital's large radiology department. As he was organizing equipment left in the hallway, he overheard a conversation between two hospital administrators. He was surprised to

hear that a portable hard drive containing non-encrypted patient information was missing. The administrators expressed relief that the hospital would be able to avoid liability. Declan was surprised, and wondered whether the hospital had

plans to properly report what had happened.

Despite Declan's concern about this issue, he was amazed by the hospital's effort to integrate Electronic Health Records (EHRs) into the everyday care of patients. He thought about the potential for streamlining care even more if they were

accessible to all medical facilities nationwide.

Declan had many positive interactions with patients. At the end of his first day, he spoke to one patient, John, whose father had just been diagnosed with a degenerative muscular disease. John was about to get blood work done, and he feared that the blood work could reveal a genetic predisposition to the disease that could affect his ability to obtain insurance coverage. Declan told John that he did not think that was possible, but the patient was wheeled away before he could explain why. John plans to ask a colleague about this.

In one month, Declan has a paper due for one of his classes on a health topic of his choice. By then, he will have had many interactions with patients he can use as examples. He will be pleased to give credit to John by name for inspiring him to

think more carefully about genetic testing.

Although Declan's day ended with many questions, he was pleased about his new position.

Based on the scenario, what is the most likely way Declan's supervisor would answer his question about the hospital's use of a billing company?

- A. By suggesting that Declan look at the hospital's publicly posted privacy policy
- B. By assuring Declan that third parties are prevented from seeing Private Health Information (PHI)
- C. By pointing out that contracts are in place to help ensure the observance of minimum security standards
- D. By describing how the billing system is integrated into the hospital's electronic health records (EHR) system

Correct Answer: C

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## QUESTION 12



What is required for a provincial law to be considered substantially similar to the Personal Information Protection and Electronic Documents Act (PIPEDA)?

- A. Consistency with at least eight of the ten privacy principles, an independent oversight body and a complaint handling mechanism.
- B. Consistency with the ten privacy principles, an independent oversight body and a process for accessing information.
- C. Consistency with the ten privacy principles, an independent oversight body and a redress mechanism.
- D. Consistency with the ten privacy principles, an appeal process and a redress mechanism.

Correct Answer: C

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### QUESTION 13

agency (CRA). Noah thinks that the report hurt his chances, but believes that he may not ever know whether it was his credit that cost him the job. However, Noah is somewhat relieved that he was not offered this particular position. He

noticed that the store where he interviewed was extremely disorganized. He imagines that his credit report could still be sitting in the office, unsecured.

Two days ago, Noah got another interview for a position at Sam's Market. The interviewer told Noah that his credit report would be a factor in the hiring decision. Noah was surprised because he had not seen anything on paper about this

when he applied.

Regardless, the effect of Noah's credit on his employability troubles him, especially since he has tried so hard to improve it. Noah made his worst financial decisions fifteen years ago, and they led to bankruptcy. These were decisions he

made as a young man, and most of his debt at the time consisted of student loans, credit card debt, and a few unpaid bills ?all of which Noah is still working to pay off. He often laments that decisions he made fifteen years ago are still affecting him today.

In addition, Noah feels that an experience investing with a large bank may have contributed to his financial troubles. In 2007, in an effort to earn money to help pay off his debt, Noah talked to a customer service representative at a large

investment company who urged him to purchase stocks. Without understanding the risks, Noah agreed. Unfortunately, Noah lost a great deal of money.

After losing the money, Noah was a customer of another financial institution that suffered a large security breach. Noah was one of millions of customers whose personal information was compromised. He wonders if he may have been a victim of identity theft and whether this may have negatively affected his credit.

Noah hopes that he will soon be able to put these challenges behind him, build excellent credit, and find the perfect job.

Based on the scenario, which legislation should ease Noah's worry about his credit report as a result of applying at Arnie's Emporium?



- A. The Privacy Rule under the Gramm-Leach-Bliley Act (GLBA).
- B. The Safeguards Rule under the Gramm-Leach-Bliley Act (GLBA).
- C. The Disposal Rule under the Fair and Accurate Credit Transactions Act (FACTA).
- D.
- E. The Red Flags Rule under the Fair and Accurate Credit Transactions Act (FACTA).

Correct Answer: B

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#### QUESTION 14

A commercial business in Canada is allowed to collect personal information without the knowledge or consent of the individual in all of the following circumstances EXCEPT when?

- A. The collection is for journalistic or literary purposes.
- B. The collection is in the interests of the individual and the consent cannot be obtained in a timely way.
- C. The collection would lead to the creation of products that would benefit the public and consent would be difficult to obtain.
- D. The collection, with the knowledge of the individual, would compromise the availability and accuracy of the information and the collection is reasonable for the purposes related to investigating a federal law.

Correct Answer: C

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#### QUESTION 15

Which of the following best describes the ASIA-Pacific Economic Cooperation (APEC) principles?

- A. A bill of rights for individuals seeking access to their personal information.
- B. A code of responsibilities for medical establishments to uphold privacy laws.
- C. An international court ruling on personal information held in the commercial sector.
- D. A baseline of marketers\' minimum responsibilities for providing opt-out mechanisms.

Correct Answer: A

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