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**QUESTION 1**

The number of days of accrued interest, and the cash value of accrued interest - on a trade of USD 45,000,000 World Bank 4.37% Bonds 1st December 2032, paying interest semi- annually on 1st December and 1st June, trade date 22~d October, value date 25th October, is:

- A. 144 days and USD 786,600.00
- B. 174 days and USD 950,475.00
- C. 141 days and USD 770,212.50
- D. 171 days and USD 934,087.50

Correct Answer: A

QUESTION 2

A S.W.I.F.T. MT541 instructs a custodian to:

- A. Receive securities on a Free of Payment basis
- B. Receive securities on a Delivery versus Payment basis
- C. Deliver securities on a Delivery versus Payment basis
- D. Deliver securities on a Free of Payment basis

Correct Answer: B

QUESTION 3

The type of organisation that provides securities underwriting services is known as a

- A. Investment bank
- B. Insurance company
- C. Commercial bank
- D. Pension fund

Correct Answer: A

QUESTION 4

The integrity of internal books and records for securities is proven by ensuring:

- A. The total ownership position is equal to the sum of open and closed trades with counterparties



- B. The total settled position is equal to the sum of open trades and trades with a future value date
- C. The total ownership position is equal to the sum of the settled positions plus/minus open trades with counterparties
- D. The total settled position is equal to the sum of open and closed trades with counterparties

Correct Answer: C

QUESTION 5

The type of organisation that specializes in deposit-taking and cash loans is known as a:

- A. Mutual fund
- B. Commercial bank
- C. Investment bank
- D. Private bank

Correct Answer: B

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