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QUESTION 1

Internal trading systems typically do not:

- A. Reflect the firm's settled positions at CSDs/custodians
- B. Have the capability to capture new trades
- C. Hold the firm's current trading positions
- D. Have the capability to amend and cancel trades

Correct Answer: A

QUESTION 2

A European country that has not adopted the Euro is:

- A. Belgium
- B. Slovakia
- C. Slovenia
- D. Norway

Correct Answer: D

QUESTION 3

Where Firm A has borrowed a fixed cash amount on a secured basis from Firm B, an increase in the collateral value means that:

- A. Firm A has exposure and must return some collateral to Firm B
- B. Firm B has exposure and must request additional collateral from Firm A
- C. Firm B has exposure and must request the return of collateral from Firm A
- D. Firm A has exposure and must request the return of some collateral from Firm B

Correct Answer: D

QUESTION 4

'Sub-custodian' is a term that is applicable to:

- A. International central securities depositories



- B. Global custodians
- C. Local custodians
- D. National central securities depositories

Correct Answer: B

QUESTION 5

A bond which permits the issuer to redeem the bond prior to its maturity date is known as a:

- A. Demandable bond
- B. Callable bond
- C. Requestable bond
- D. Askable bond

Correct Answer: B

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