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QUESTION 1

The two choices for the settlement method utilised within a securities market are:

- A. Account Settlement and Tumbling Settlement
- B. Tumbling Settlement and Continuing Settlement
- C. Continuing Settlement and Account Settlement
- D. Rolling Settlement and Account Settlement

Correct Answer: D

QUESTION 2

Bond types that are traded at a deeply discounted price are:

- A. Floating rate notes
- B. Zero coupon bonds
- C. Convertible bonds and Exchangeable bonds
- D. Fixed rate bonds

Correct Answer: B

QUESTION 3

ISO stands for:

- A. Invention of Standards for Organisations
- B. Intercontinental Symposium of Organisations
- C. International Organisation for Standardisation
- D. Intraterritorial Organisation of Securities

Correct Answer: C

QUESTION 4

The market in which debt is issued over the long-term describes:

- A. The money market
- B. The foreign exchange market



C. The capital market

D. The equity market

Correct Answer: C

QUESTION 5

A bond whose coupon rate increases at pre-specified dates and at a pre-specified interest rate is known as:

A. A floating rate note

B. A convertible bond

C. A mortgage-backed security

D. A step-up bond

Correct Answer: D

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