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QUESTION 1

Small-value funds own_____:

- A. Small company stock considered undervalued
- B. Stocks of small value
- C. Small amounts of value companies
- D. Small company stocks considered overvalued

Correct Answer: A

QUESTION 2

Which projection method uses paid losses plus reserves on outstanding claims?

- A. Average losses
- B. Loss ratio
- C. Incurred loss
- D. None of the above

Correct Answer: C

QUESTION 3

Red color indicates market or share price is going down

- A. Leverage ratios are designed to
- B. Measure a company's capital structure
- C. Measure the quality of a company's operations
- D. Measure a company's ability to cover its short-term obligations

Correct Answer: B

QUESTION 4

A sound portfolio statement helps to protect the client against a portfolio manager's:

- A. Financial behavior
- B. Inappropriate investment



C. Unethical behavior

D. Hedging

Correct Answer: BC

QUESTION 5

Which control includes the procedures for system design, including the acquisition of software packages, should encourage active participation by the accounting department and internal auditors?

A. Organizations and operations control

B. System development control

C. Access control

D. Procedural control

Correct Answer: A

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