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QUESTION 1

Which of the following promotes education and ethical behavior among those involved in investment business?

- A. Securities investor protection corporation
- B. Licensed international financial analyst
- C. Chartered financial analyst institute
- D. Securities and exchange commission

Correct Answer: B

QUESTION 2

Which of the following does not pay fix income (interest/ dividend) to investors?

- A. Preferred stock
- B. Government bond
- C. Common stock
- D. Treasury bond

Correct Answer: B

QUESTION 3

Accumulating assets to enjoy in retirement is only part of the:

- A. Long-term financial planning process
- B. Short-term financial planning process
- C. Life time financial planning process
- D. Permanent financial planning process

Correct Answer: A

QUESTION 4

It is the process of arranging with a mortgage lender, in advance of buying a home, to obtain the amount of mortgage financing the lender deems affordable to home buyer.

- A. Multiple listing service



- B. Prequalification
- C. Earnest money deposit
- D. Contingency clause

Correct Answer: B

QUESTION 5

It is an insurance plan designed to supplement the basic coverage of hospitalization, surgical and physician expenses; used to finance more catastrophic medical costs. What is it?

- A. Major medical plan
- B. Covered medical services
- C. Comprehensive major medical insurance
- D. None of these

Correct Answer: A

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