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### QUESTION 1

Which of the following would NOT normally be used as a major category in a cause and effect diagram?

- A. Modifications
- B. Methods
- C. Materials
- D. Machines

Correct Answer: A

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### QUESTION 2

The best way to communicate audit results to upper management would be to:

- A. provide a list of findings of noncompliance and supporting objective evidence
- B. present a list of all items of nonconformance that require corrective action
- C. present a report of the performance against the audit standard of all areas included in the audit scope
- D. present an evaluation of overall quality performance and provide an executive summary in the formal report

Correct Answer: D

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### QUESTION 3

When is it acceptable to grant an extension of the time frame for a corrective action?

- A. When the auditor cannot perform the follow-up audit as scheduled
- B. When the auditee determines that the proposed corrective action is not cost-effective
- C. When the corrective action plan requires more time than originally anticipated
- D. When there has been a change in operators who perform the task

Correct Answer: C

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### QUESTION 4

Which of the following methods can an audit program manager use to establish consistency among auditors?

- A. Develop performance appraisals for all auditors



- B. Assign auditors to permanent audit teams
- C. Require auditors to read audit journals and references
- D. Require auditors to develop their own procedures manual

Correct Answer: A

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#### QUESTION 5

Which of the following is the greatest benefit of an internal audit program to an organization?

- A. It fulfills most contractual quality requirements.
- B. It provides feedback for continuous improvement.
- C. It prepares an organization for third-party audits.
- D. It frequently eliminates the need for external audits.

Correct Answer: B

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