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QUESTION 1

Which of the following factors related to scheduling would most directly affect the performance of an audit?

- A. The client's company policy
- B. The scope of the audit
- C. The auditee's annual business plan
- D. The evaluation of corrective action

Correct Answer: B

QUESTION 2

An auditee responds to a corrective action request by stating that the problem has never occurred before and is "not the norm for our operation." Which of the following is the most appropriate action for the lead auditor?

- A. Note that the deficiency is the result of a random occurrence.
- B. Draft a response explicitly requesting a more concise root-cause analysis.
- C. Confer with the audit client to assess the appropriateness of the response.
- D. Schedule a follow-up audit immediately.

Correct Answer: B

QUESTION 3

Quality auditors help companies improve their processes, products, and services by:

- A. developing more comprehensive checklists
- B. guaranteeing product quality
- C. recommending better techniques for motivating employees
- D. reporting positive as well as negative evaluation results

Correct Answer: D

QUESTION 4

Which of the following conclusions can be made by an auditor who observes that statistical process control (SPC) charts posted near operator workstations are done correctly and are regularly completed throughout the plant?



- A. Commitment of management to quality is strong
- B. Processes are being improved continually
- C. Shop floor staff have been fully trained
- D. SPC charts are available

Correct Answer: D

QUESTION 5

Generally, which of the following is the most meaningful way to trace a finished product?

- A. Forward from starting with the acquisition of raw materials
- B. Forward from the first production activity
- C. Backward from the start of the assembly process
- D. Backward from the end point

Correct Answer: D

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