



3i0-010^{Q&As}

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QUESTION 1

Which of the following is an example of market risk?

- A. counterparty risk
- B. country risk
- C. settlement risk
- D. stock price risk

Correct Answer: D

QUESTION 2

Which of the following is characteristically of a CD?

- A. A CD is a certificate for a gold deposit
- B. The CD issuer can redeem the certificate at any time
- C. It is a non-negotiable instrument.
- D. A CD is a certificate for a time deposit

Correct Answer: D

QUESTION 3

Which of the following is true about interest rate swaps?

- A. The fixed rate and the floating rate payers know the cash value of their payments at the outset
- B. There is exchange of principal at the start and at the end of the swap
- C. Payment netting is only allowed through a third party
- D. The notional amount of the swap is generally not exchanged between counterparties

Correct Answer: D

QUESTION 4

What does ISDA stand for?

- A. International Swaps and Derivatives Association
- B. Integrated System Data Association



C. International Swap Derivatives Agreement

D. Informative Software for Dealing Activities

Correct Answer: A

QUESTION 5

An American-style option is:

A. an option traded in USD

B. an option which can be exercised at any time between the purchase and expiration date

C. an option based on any US dollar instrument

D. an option which can be exercised only at maturity

Correct Answer: B

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